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Docket No. 1670.1015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Yun Soo CHOE et al.

Serial No. 10/652,493

Group Art Unit: 3742

Confirmation No. 2730

Filed: September 2, 2003

Examiner: Sang Yeop Paik

For: HEATING CRUCIBLE FOR ORGANIC THIN FILM FORMING APPARATUS

**STATEMENT RE EFFECT OF FILING OF INFORMATION DISCLOSURE STATEMENT  
ON PENDING PRE-APPEAL REQUEST FOR REVIEW**

**Mail Stop AF**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

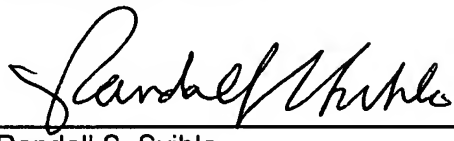
An Information Disclosure Statement is being filed herewith. A Pre-Appeal Request for Review was filed on January 12, 2007. The notice entitled "Pre-Appeal Brief Conference Pilot Program" published at 1296 OG 67 indicates that a pending Pre-Appeal Brief Request for Review will be terminated if an applicant files an appeal brief, a request for continued examination (RCE), an after-final amendment, an affidavit or other evidence, or an express abandonment, or if there is a request for the declaration of an interference. However, the notice does not indicate that a pending Pre-Appeal Request for Review will be terminated if an applicant files an Information Disclosure Statement as is being done here. In a telephone conversation on January 17, 2007, between Mr. Kery A. Fries of the PTO Office of Patent Legal Administration and the undersigned attorney, Mr. Fries confirmed that filing an Information Disclosure Statement will not terminate the Pre-Appeal Brief Request for Review that is pending in this application.

Accordingly, it is respectfully requested that the accompanying Information Disclosure Statement be considered by the Examiner at an appropriate time.

Respectfully submitted,

STEIN, MCEWEN & BUI, LLP

Date: 01/25/07

By:   
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Attorney Docket No. 1670.1015

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Yun Soo CHOE et al.

Application No.: 10/652,493

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

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Sir:

In accordance with the duty of disclosure provisions of 37 CFR § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:

- 1a. ☒ Form PTO-1449.
- 1b. ☒ Copy(ies) of IDS citation(s), except for U.S. Patents and U.S. Patent Application publications.
- 1c. ☒ English language copy of a communication(s) from a foreign Patent Office or a PCT International Search Report.
- 1d. ☐ English language translation (Abstract Only) attached to non-English language publications as indicated on the attached Form PTO-1449.
- 1e. ☐ Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for providing a concise explanation of non-English publications.
- 1f. ☐ List of Copending Applications (ATTACHMENT 1(f), hereto).
- 1g. ☐ List of Additional Submitted Documents (ATTACHMENT 1(g), hereto).

2. ☐ This Information Disclosure Statement is filed under 37 CFR § 1.97(b):

*(Check either Item 2a or 2b or 2c or 2d)*

- 2a. ☐ Within three months of the filing date of a national application;
- 2b. ☐ Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application.
- 2c. ☐ Before the mailing of a first Office Action on the merits; or
- 2d. ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.

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3. ☐ This Information Disclosure Statement is filed under 37 CFR § 1.97(c) after the period specified in paragraph 2 above but before the mailing date of any of a Final Office Action under § 1.113, a Notice of Allowance under § 1.311 or an action that otherwise closes prosecution in the application, AND

*(Check either Item 3a or 3b; Item 3b to be checked if any reference known for more than 3 months)*

- 3a. ☐ The § 1.97(e) Statement in Item 5 below is applicable; OR  
3b. ☐ The \$180.00 fee set forth in 37 CFR § 1.17(p) is:  
☐ enclosed.  
☐ to be charged to Deposit Account No. 503333.

4. ☒ This Information Disclosure Statement is filed under 37 CFR § 1.97(d) after the period specified in paragraph 3 above, but on or before payment of the Issue Fee, AND

- 4a. ☒ The § 1.97(e) Statement in Item 5 below is applicable; AND  
4b. ☒ The \$180.00 fee set forth in 37 CFR § 1.17(p) is:  
☒ enclosed.  
☐ to be charged to Deposit Account No. 503333.

5. ☒ Statement under § 1.97(e) (*applicable if Item 3a or Item 4a is checked*)  
*(Check either Item 5a or 5b)*

- 5a. ☒ In accordance with 37 CFR § 1.97(e)(1), it is stated that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.  
5b. ☐ In accordance with 37 CFR § 1.97(e)(2), it is stated that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known by any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

6. ☐ This is a continuation/divisional/continuation-in-part application under 37 CFR § 1.53(b).

*(Check appropriate Items 6a and/or 6b)*

- 6a. ☐ Copies of the publications listed on the attached Form PTO-1449 which were previously cited in prior application Serial No. \_\_\_, filed on \_\_\_, and which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, have been omitted pursuant to 37 CFR § 1.98(d).  
6b. ☐ Copies of the publications listed on the attached Form PTO-1449 which were not previously cited in prior application Serial No. \_\_\_, filed on \_\_\_, and

which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, are provided herewith.

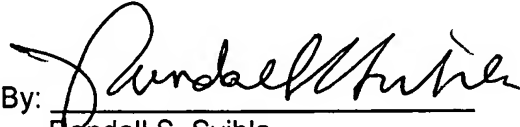
7. ☐ This is a Request for Continued Examination under 37 CFR § 1.114.  
(Check either Item 7a or 7b)
- 7a. ☐ The Issue Fee has not been paid.
- 7b. ☐ A Petition to Withdraw from issue under 37 CFR § 1.313(c) is filed concurrently herewith or has been granted. A Request for Continued Examination under 37 CFR § 1.114, after payment of the Issue Fee, is proper in accordance with 37 CFR § 1.114(a), respectively.
8. ☐ This is a Supplemental Information Disclosure Statement.  
(Check either Item 8a or 8b)
- 8a. ☐ This Supplemental Information Disclosure Statement under 37 CFR § 1.97(f) supplements the Information Disclosure Statement filed on \_\_\_\_\_. A bona fide attempt was made to comply with 37 CFR § 1.98, but inadvertent omissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental IDS can be considered as if properly filed on \_\_\_\_\_.
- 8b. ☐ This Supplemental Information Disclosure Statement is timely filed within one (1) month of the Notice under 37 CFR §§ 1.97 and 1.98, mailed \_\_\_\_\_.
9. ☐ In accordance with 37 CFR § 1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:  
(Check appropriate Items 9a, 9b, 9c and/or 9d)
- 9a. ☐ satisfied for the non-English language publication(s) cited on the enclosed "English language version of the search report or action which indicates the degree of relevance found by the foreign office". (See MPEP § 609, Minimum Requirements for an Information Disclosure Statement, Part A(3): Concise Explanation of Relevance, 8th Ed., Rev. 2)
- 9b. ☐ set forth in the application.
- 9c. ☐ satisfied for the non-English language publication(s) indicated on the attached Form PTO-1449 as having an English language translation (Abstract Only) attached thereto.
- 9d. ☐ enclosed as Attachment 1(e), hereto.
10. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§ 1.97(g) and (h).

11. The Commissioner is authorized to credit any overpayment or charge any additional fee required under 37 CFR § 1.17 for this Information Disclosure Statement to Deposit Account No. 503333.

Respectfully submitted,

STEIN, MCEWEN & BUI, LLP

Dated: 01/25/07  
1400 Eye St., N.W.  
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Washington, D.C. 20005  
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By:   
Randall S. Svihla  
Registration No. 56,273



Sheet 1 of 1

<b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>  <b>LIST OF REFERENCES CITED BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTORNEY DOCKET NO.</b> 1670.1015	<b>APPLICATION NO.</b> 10/652,493
	<b>FIRST NAMED INVENTOR</b> Yun Soo CHOE et al.	
	<b>FILING DATE</b> September 2, 2003	<b>GROUP ART UNIT</b> 3742

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	2,799,764	07/1957	Chandler			
	AB	5,157,240	10/1992	Chow			
	AC	6,162,300	12/2000	Bichrt			
	AD	6,242,719	06/2001	Kano et al.			
	AE						
	AF						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES NO		ABSTRACT
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

**OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)**

			TRANSLATION YES NO	
	AM	Office Action issued on November 24, 2006, in Chinese Patent Application No. 031255159 (with English translation).	X	

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	